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PTO-1390 (Rev. 07-2005) o Use through 3/31/2007, OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

INTERNATIONAL FILING DATE September 6, 2005 PRIORITY DATE CLAIMED September 7, 2004 TITLE OF INVENTION

INTERNATIONAL APPLICATION NO. PCT/JP2005/016688 METHOD FOR OBTAINING STATUS, PRINTER DRIVER AND INFORMATION PROCESSING DEVICE APPLICANT(S) FOR DO/EO/US Takayuki SHIMATANI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98., including Form PTO-1449 and cited X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. LX A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

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U.S. APPLICA	TION NO.	(if known	see 37 CFR 1.5	INTERNATIONAL AF		<u>_</u> _	ATTORNEY'S DOC	KET NUMBER
	<u> </u>	1121	10676	PCT/JP2005/010		22	71/76217	
Other items or information: Cover page of PCT Publication No. WO 2006/028236 Al; copy of PCT Request; copy of Notification Concerning Submission or Transmittal of Priority Document; Copy of Notification of Transmittal of The International Searching Authority, Or The Declaration; copy of Written Opinion of the International Searching Authority and Express Mail Letter of Transmittal Label No. EV 554 808 372 US.								
The foll	owing fe	es have be	een submitted		<del>"</del> -	C	ALCULATIONS	PTO USE ONLY
21. 🗷 Basi	ic nationa	al fee (37 (	CFR 1.492(a))		\$300	\$	300.00	
22. Examination fee (37 CFR 1.492(c))								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							200.00	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							400.00	
TOTAL OF 21, 22 and 23 =						\$	900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra S	Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
<b>28</b> -100 =	(	0 /50 =		0	x \$250	\$	0.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).								
CLAIMS		NUMBER FILED		NUMBER EXTRA	RATE	\$		
Total claims			10 - 20 =	0	× \$ 50	\$		·
Independent claims		3 - 3 =	0	x \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$		
TOTAL OF ABOVE CALCULATIONS =							900:00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								
					SUBTOTAL =	\$	900.00	
Processing fee of claimed priority of			\$					
TOTAL NATIONAL FEE =							900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							40.00	
TOTAL FEES ENCLOSED =						\$	940.00	
						•	nount to be unded:	\$
							nount to be arged	\$

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		J	APAMECUFUNIU VO BIAI 2000						
a. 🗶	A check in the amount of \$ 940.00	_ to cover the above							
b. 🔲	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.						
c. <b>X</b>	The Commissioner is hereby authorized to charge any Account No. 03-3125. A duplicate copy of this she	additional fees which eet is enclosed.	may be required, or credit any overpayment to Deposit						
d. 🗀	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
Custo Ivan Regis Coope 1185 New 1	OLL CORRESPONDENCE TO:  Omer Number 23432 S. Kavrukov, Esq.  Stration No. 25,161 er & Dunham LLP Avenue of the Americas  York, NY 10036 ) 278-0400 ) 391-0525		SIGNATURE Paul Teng NAME 40,837 REGISTRATION NUMBER						

### 10/578232 1AP20R8C'GFUTFTO U3 MAY 2006

Dkt. 2271/76217

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Takayuki SHIMATANI

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/016688

Date Filed: Concurrently Herewith Int'l Filing Date: 6 September 2005

For: METHOD FOR OBTAINING STATUS, PRINTER DRIVER AND INFORMATION

PROCESSING DEVICE

## Page 4 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

### 20. Other items or information:

- cover page of PCT Publication No. WO 2006/028236 A1;
- copy of PCT Request;
- copy of Notification Concerning Submission Or Transmittal Of Priority Document;
- copy of Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration; and
- copy of Written Opinion Of The International Searching Authority.

# 1AP20Rec'd PCT/PTO 03 MAY 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Takayuki SHIMATANI

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/016688

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For: METHOD FOR OBTAINING STATUS, PRINTER DRIVER AND INFORMATION

PROCESSING DEVICE

#### Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: DO/EO/US

Sir:

### **EXPRESS MAIL LETTER OF TRANSMITTAL**

Express Mail mailing label number: EV 554 808 372US

Date of Deposit: May 3, 2006

I hereby certify that the above-identified new application, consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), international application as filed [22 pages and in addition 6 sheets of formal drawings (Figs. 1-7)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (3 pages total), Preliminary Amendment, Information Disclosure Statement (including Form PTO-1449 and copies of references), Copy of first page of International Publication No. WO 2006/028236 A1 of the International Application, PCT Request, Notification Concerning Submission or Transmittal of Priority Document, Written Opinion of the International Searching Authority, Notification of Transmittal of the International Search Report and The Written Opinion of the International Searching Authority, a check in the amount of \$940.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicant: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036

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